

Apprenticeship Provision - End Point Assessment policy

UPR AS14, Appendix IV - version 15.0

Policies superseded by this document

This document replaces version 14.1 of UPR AS14, Appendix IV, with effect from 1 September 2021.

Summary of significant changes to the previous version

Section 4.1.2 xxi has been added. Other minor amendments have been made for clarification purposes.

Glossary

A glossary of approved University terminology can be found in [UPR GV08](#).

Table of contents

1	Introduction	1
2	Background	2
3	Education and Skills Funding Agency requirements.....	2
4	End Point Assessment.....	3
4.1	Integrated EPA	3
4.2	Non-integrated EPA.....	5
5	Resits and retakes of EPA	6
6	Feedback from EPA.....	6
7	Applying for Completion Certificates	6
8	Consistency and comparability	6
9	Appeals and complaints	7

1 Introduction

- 1.1 As a provider of Apprenticeships, the University of Hertfordshire is committed to delivering a high-quality training experience and as required to manage the delivery the End Point Assessment (EPA).
- 1.2 The aim of this policy is to ensure the University of Hertfordshire has an identified approach for managing and assuring the quality of the EPA for its Higher, Degree and level 6+ Apprenticeships.

1.3 This will include all apprenticeship programmes that are delivered through a partner organisation via subcontract arrangement.

1.4 The University is committed to ensuring the learner has a positive experience of their end point assessment and that a consistent approach is taken toward EPA.

2 Background

2.1 This policy provides detail on End Point Assessment (EPA) and should be read in conjunction with the University's UPRs AS12¹, AS14² and AS17³ and other guidance and policies linked to the Degree Apprenticeship. This policy will set out the roles and responsibilities for both integrated and non-integrated EPAs. Existing University policies and regulations still govern any academic award within an apprenticeship, but programmes should be cognizant of the requirements of EPA at the time of approval, including any requirements to adjust how the University would typically deliver its academic awards.

2.2 This policy is congruent with the policy principles of the Education and Skills Funding Agency Apprenticeship (ESFA) funding rules for main providers and the Institute for Apprenticeships and Technical Education (IfATE) external quality assurance framework.

2.3 All apprenticeship standards will have an accompanying EPA. This determines if the apprentice has met the requirement of the apprenticeship standard: that is, they are able to demonstrate the Knowledge, Skills and Behaviors (KSB) and are occupationally competent.

2.4 Prior to being eligible for the EPA the apprentice will need to successfully meet the 'Gateway' requirements as determined by the standard. The Employer's permission is required to proceed to EPA.

2.5 The detail of the EPA is described in the Assessment Plan associated with the standard. The EPA may be integrated or non-integrated and this is pre-determined:

- i Integrated: this is an integral component of the final academic award. The University will provide all the scheduled on programme learning and the EPA. The University will be acting as the End Point Assessment Organisation (EPAO) for integrated EPAs.
- ii Non-integrated: the final academic award is achieved, and the EPA is then conducted independently of the University by an EPAO.

3 Education and Skills Funding Agency requirements

3.1 The University is required to maintain their status on the Register of Approved Training Providers (RoATP).

3.2 The University must be registered on the RoEPAO for each Apprenticeship Standard that is being delivered where the EPA is integrated.

¹ UPR AS12 'Assessments, Examinations and Conferments (University-Delivered provision)'

² UPR AS14 'Structure and Assessment Regulations - Undergraduate and Taught Postgraduate Programmes'

³ UPR AS17 'Academic quality'

- 3.3 The central degree apprenticeship teams will work with the Schools to coordinate the applications to the RoEPAO.
- 3.4 Early engagement with this process is advised as the application must be approved at least nine months before the EPA is scheduled to take place.
- 3.5 The University will supply all required information to the ESFA to ensure compliance with the EPA process and outcomes.

4 End Point Assessment

4.1 Integrated EPA

- 4.1.1 The Assessment Plan will indicate that the EPA is integrated: the University will provide both the on programme learning/training and the EPA. The EPA is part of the academic award and is normally the final project/module. All EPAs consist of at least two forms of assessment. The integrated EPA will have been approved at the time of validation of the programme. When the University is the EPAO, the existing internal quality assurance arrangements will be adhered to.
- 4.1.2 The University must comply with all aspects and requirements of the EPA as identified in the Assessment Plan. This includes:
- i clarification of the Gateway requirements for both learner and employers and identify how this will be assessed, evidenced and verified;
 - ii in exceptional circumstances where apprentices do not have level 2 qualification in Math and English, this must be remediated before they can progress through the Gateway. Schools must be able to provide evidence that ESFA math and English requirements have been met;
 - iii protocols demonstrating how the EPA will be delivered should be developed by the programme team for comparability and consistency of practice including specific determination of the employer involvement where applicable *and to determine the state of readiness*;
 - iv determining who the assessment teams will be and how they will engage with employers, PSRB's and University academic staff independent of any aspects of 'on programme' learning as identified in the Assessment Plan;
 - v the independent nature of the EPA must be assured, and the assessor must be independent of the apprentice and employer. Each School will take the necessary steps to demonstrate this independence and will have sourced staff who are independent of the on programme delivery. The Independent Assessor will have the necessary skills, experience, occupational competence and qualifications required to facilitate the assessment;
 - vi if PSRB recognition is conferred on successful completion of the EPA, the associate Assessment Plan may require that the assessment is conducted by Independent Assessor who holds a specified professional status and the School will need to comply with this;

- vii academic subject expertise may not be sufficient to demonstrate that the assessors are equipped to assess the professional competency of the learner. Workplace experience and occupational competence may also be required;
- viii where appropriate and required each School will be responsible for training of Independent Assessors;
- ix the assessment must comply with the normal requirements of University's Policy and Regulations AS12 and 14;
- x monitoring and reviewing outcomes from the different assessments in the EPA;
- xi where a work-based project forms part of the EPA, it may be necessary for the employer to be involved in agreeing the scope of the project as it is important that the project meets the needs of their organisation;
- xii the University, as the EPAO, will need to agree the scope of the work-based project, ensure it is comparable to other projects and that it will fulfil the requirements of the EPA for the Apprenticeship Standard and Assessment Plan;
- xiii the University may produce project specifications to guide employers in determining the requirements of the work-based project;
- xiv there should be evidence of 'sign off' by all parties, University, employer and learner on any agreed work-based project;
- xv all interviews, presentations, professional discussions should be recorded in an appropriate manner and stored for quality assurance purposes on University systems only for the duration specified by the ESFA and stored in accordance with the requirements of UPR IM16⁴;
- xvi individual staff members must not retain any copies of assessed work either electronic or hard copies;
- xvii referrals will be undertaken in line with existing UPR AS14² except where the Assessment Plan sets out specific procedures in which case the assessment takes precedence. Programme specific assessment requirements should be identified this;
- xviii where the assessment plan references the role of the external examiner (industry relevant experience) consideration of these requirements should occur at the time of appointment;
- xix conflicts of interest on the part of assessors will be managed in line with relevant University policy;
- xx Schools will confirm all completed EPAs to Academic Registry Degree Apprenticeship team who will manage the data returns to ESFA;
- xxi comply with reporting requests from the External Quality Assurance Provider.

⁴ UPR IM16 'Data Management Policy'

4.2 Non-integrated EPA

4.2.1 When the EPA is non-integrated, successful completion and ratification of the final academic award is required before the EPA can be attempted. Significant alignment of the Apprenticeship Standard with the programme and module learning outcomes will exist. The EPA is carried out by a designated End Point Assessment Organisation (EPAO). The Employer will determine the EPAO to be used and therefore, the University may be working with more than one EPAO for any one cohort of learners.

4.2.2 The University must comply with all aspects and requirements of the EPA as identified in the Assessment Plan. This includes:

- i clarification of the Gateway requirements for both learner and employers and identification of how this will be assessed, evidenced and verified;
- ii ensuring that the apprentice will have the appropriate learner status within the University during their Gateway and EPA period;
- iii communicating with employers to inform them of the attempts and outcomes from each EPA.

4.2.3 The University will work with employers to ensure they are informed of available EPAOs but cannot be involved in the final selection of the EPAO. The University will:

- i provide the employer with the Gateway declaration and EPAO choice forms and ensure they are returned and stored;
- ii in exceptional circumstances where apprentices do not have level 2 qualification in Math and English, this must be remediated before they can enter the Gateway. The EPAO will require verification of these qualifications;
- iii engage with the EPAO(s) of choice and establish the necessary contracts setting out the terms and conditions of the contract;
- iv ensure contracts for EPA delivery will be signed in a timely manner and in accordance with the University's standard financial practice;
- v maintain responsibility for paying the EPAO from the fees it receives from delivering the apprenticeship training. The maximum percentage cost that can be spent on the EPA is established by the IfATE;
- vi the Schools and central degree apprentice teams will work with the EPAO to develop support, guidance and arrangements for EPA;
- vii where it is identified that the learner is not ready to proceed with EPA, work with the employer and identify appropriate action to support the learner so as the EPA can be taken within the specified timeframe as identified in the Assessment Plan.

5 Resits and retakes of EPA

5.1 The Assessment Plan details the requirements for resits and retakes.

5.2 Resits and retakes are defined by the IfATE as:

- i a retake requires a period of further learning before the learner can attempt the EPA again;
- ii a resit does not require further learning before attempting reassessment of the EPA or the failed component of the EPA.

5.3 The cost of retakes will be detailed in the contract with the EPAO.

6 Feedback from EPA

To develop an understanding of the EPA experience from a range of perspectives, the University's Degree Apprentice team will coordinate a post EPA survey. Feedback from EPAOs as identified in the contractual arrangements will be requested. This will be reported to the Academic Standards and Audit Committee (ASAC) and the Education and Student Experience Committee (ESEC).

7 Applying for Completion Certificates

7.1 Where the EPA is integrated, Academic Registry will retain responsibility for claiming the Apprenticeship Completion Certificate from the Apprenticeship Assessment Service on notification from the relevant School that the EPA has been successfully passed.

7.2 Where the EPA is non- integrated, it is the responsibility of the EPAO to apply for the Apprenticeship Completion Certificate on behalf of the learner. If the learner fails to successfully complete the EPA they may still receive the relevant University award. They will not receive the Apprenticeship Completion Certificate.

8 Consistency and comparability

8.1 The University will ensure consistency in EPA in the following ways and as shown above:

- i providing guidance on the EPA to learners and employers in relation to the delivery of the EPA (practical and technical activities, marking guidance for EPA activity);
- ii consulting with industry/technical experts and External Examiner when developing relevant work-based projects to ensure there is comparability and consistency in terms of breadth and depth of assessment across the provision where the standard is being delivered to ensure the assessment is reliable, valid and fair to all learners;
- iii through recruitment, preparation and on-going training of appropriate Independent Assessors;

- iv developing protocols to determine how the assessment process will be carried out;
- v holding regular standardisation meeting to ensure consistency of assessment and marking practice;
- vi through recording and retention of any practical components of assessment for moderation and quality assurance processes;
- vii monitoring outcomes from Board of Examiners;
- viii using the annual monitoring and evaluation process to review outcomes and enhance practice.

9 Appeals and complaints

- 9.1 When the EPA has been carried out by the University it is subject to the normal appeals and complaints procedures (UPRs SA16⁵ and GV15⁶). If the issues cannot be resolved it may be escalated to the External Quality Assurance provider and IfATE.
- 9.2 For non-integrated EPAs the apprentice must follow the complaints process of the EPAO⁷.

Sharon Harrison-Barker
Secretary and Registrar
Signed: **1 August 2021**

Alternative format

If you need this document in an alternative format, please email us at governanceservices@herts.ac.uk or telephone us on +44 (0)1707 28 6006.

⁵ UPR SA16 'Student and Applicant Complaints'
⁶ UPR GV15 'Complaints Policy (non-staff, non-student)'
⁷ IfATE External Quality Assurance Framework. V1.0 July 2019