

UNIVERSITY POLICY AND REGULATION (UPR)

(Amendments to version 01.0, UPR GV07, are shown in italics.)

1 INTRODUCTION

- 1.1 The UPR series is the principal means by which the University of Hertfordshire publishes its institutional policies, procedures and regulations.
- 1.2 UPRs will be formulated and published in accordance with the following procedures.

2 DEFINITIONS

- 2.1 For the purpose of this document, the following definition will apply:

- 2.1.1 **'originator':**

a manager, other member of staff or a committee or other group that identifies to the Secretary and Registrar or to the Head of Governance Services areas that they believe should be the subject of a UPR;

- 2.1.2 **'Standing Working Party:**

Standing Working Party on University Policies and Regulations.

3 MANAGEMENT OF THE UPR SERIES

- 3.1 The Head of Governance Services is responsible to the Secretary and Registrar for the management, editing, *maintenance and publishing of UPRs that fall within the scope of the Standing Working Party on University Policies and Regulations.*
- 3.2 *The Head of Governance Services is also responsible to the Secretary and Registrar for the management, editing, maintenance and publishing of the University's Corporate UPRs that fall outside of the scope of the Standing Working Party on University Policies and Regulations.*
- 3.2 All UPRs are commissioned *on the authority of* the Secretary and Registrar who, as Secretary to the Board of Governors and the Academic Board, will *be consulted, as necessary, on the approval process and the process of consultation* to be used in the *development* of each document *or the conduct of a revision*. This process will include, as appropriate, the *Chief Executive's Group, the Standing Working Party on UPRs and the Corporate UPRs Working Group*. The Secretary and Registrar will ensure that, where necessary, legal advice is taken.
- 3.3 All UPRs will be issued under the authority of the Secretary and Registrar.

4 STANDING WORKING PARTY ON UPRs

- 4.1 The Standing Working Party will have oversight of academic University policies, regulations and procedures on behalf of the Academic Board.
- 4.2 Appropriate senior officers, designated as 'lead officers' will, where they deem it necessary, formulate proposed amendments for scrutiny by the Standing Working Party and approval by the Academic Board.

5 APPROVAL

- 5.1 The Academic Board *is* responsible for giving final approval to UPRs which relate to the establishment and maintenance of the University's academic policies, procedures, rules, regulations and guidelines.

- 5.2 The Board of Governors is responsible for approving the policies, procedures, rules, regulations for the financial management and governance of the University.
- 5.3 In certain instances policies, procedures, rules and regulations will require the approval of both the Board of Governors and the Academic Board.
- 5.4 The Vice-Chancellor and the Secretary and Registrar are empowered by the Articles of Government (ex officio) to give certain approvals without reference to the Academic Board or the Board of Governors.
- 5.5 In all cases the Secretary and Registrar will be responsible for ensuring that an appropriate and constitutionally sound process of approval is adhered to.

6 STATUS OF UNIVERSITY POLICIES, REGULATIONS AND INSTITUTIONAL PROCEDURES

- 6.1 University Policies and Regulations are defined in the Financial Regulations as ‘Prime Documents’ (UPR FR06¹, refers).
- 6.2 A UPR or a policy or regulatory document that is not published within the UPR series but has received the required approval of the Board of Governors or the Academic Board, cannot be amended substantively without the prior approval of the Board or Boards concerned. The Secretary and Registrar, may, without reference to the Board or Boards concerned, approve minor drafting amendments to these documents which do not constitute substantive amendments, for example, to reflect organisational changes within the University.

7 COMMENTARIES, GUIDANCE, GUIDANCE NOTES AND OPERATING PROCEDURES

- 7.1 *Additional* guidance notes and operating procedures may be developed to enable the implementation of decisions of the Board concerned, as set out in the UPR or other policy or regulatory document.
- 7.2 Additional guidance notes and operating procedures will contain the following statement:

‘In the event of any conflict between the provisions of this document and the UPRs or other approved policy or regulatory documents, the provisions of the UPRs and other approved policy or regulatory documents, will prevail.’

8 REVISIONS

Should any member of the University identify that a document appears to require amendment, they are asked to inform the Head of Governance Services, as appropriate.

Mrs S Harrison-Barker
Secretary and Registrar
Signed: **1 August 2024**

¹ UPR FR06 ‘Corporate Governance and Financial Regulation’